

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: REINHARD PLASCHKA ET AL.  
SERIAL NO.: 09/926,062

FILED: 23 August 2001

FOR: VALUE DOCUMENT

GROUP ART UNIT: unassigned

EXAMINER: unassigned

ATTY. REFERENCE: PLAS3002/JEK

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Declaration                                      | <input type="checkbox"/> Issue Fee Transmittal  |
| <input checked="" type="checkbox"/> Communication                                    | <input checked="" type="checkbox"/> Check in the Amount of <u>\$170.00</u>            |
| <input type="checkbox"/> Formal Drawings   | <input checked="" type="checkbox"/> Assignment, including cover sheet and fee of \$40 |
| <input checked="" type="checkbox"/> Information Disclosure Statement with references | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts              |

☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

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DATE: 19 November 2001

Respectfully submitted,

J. Ernest Kenney  
Attorney for Applicant  
Registration Number: 19,179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Reinhard PLASCHKA et al.

PCT/DO/EO/US

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COMMUNICATION

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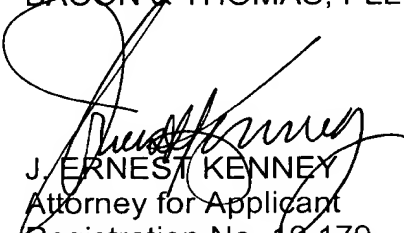
Sir:

It is desired to correct the PRELIMINARY AMENDMENT submitted with the original papers that were filed to establish the U.S. national stage of the above-identified application.

In the PRELIMINARY AMENDMENT the request is made to cancel claim "3" in the first line of the amendment. Obviously, the number 3 should be 30, which is apparent from the following appendix of marked up version of the claims.

Examination of the application based on the claims as amended is respectfully requested.

Respectfully submitted,  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926062	PLASCHKA	R PLAS3002/JEK

INTERNATIONAL APPLICATION NO.

PCT/EP00/01428

I.A. FILING DATE

PRIORITY DATE

22 FEB 00

23 FEB 99

DATE MAILED:

19 SEP 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                                    |
| <input checked="" type="checkbox"/> Copy of the international application.   | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.                    |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input type="checkbox"/> Other:  |
| <input checked="" type="checkbox"/> Priority Document.   |  |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |  |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |  |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(f)) months from the priority date.